

TOWN of LYSANDER
8220 LOOP ROAD
BALDWINVILLE, NEW YORK 13027
315-638-4264

September 28, 2021

Mr. William Balduzzi, AE
NYSDOT Region 3
333 East Washington St.
Syracuse, NY 13202

RE: AWARD DOCUMENTATION
Willett Parkway Culvert Repair PIN 375647
BID OPENING, ANALYSIS, CERTIFICATION, AND RECOMMENDATION

Dear Mr. Balduzzi:

In accordance with Chapter 14 of NYSDOT's Local Projects Manual (LPM), the Town of Lysander has advertised, accepted bids, and completed the award process for the construction contract for the above-referenced locally-administered federal aid project including:

- Advertised, at least 15 business days before the bid opening in the NYS Contract Reporter;
- Indicated the Disadvantaged Business Enterprise (DBE) [or Minority/Women Business Enterprise (M/WBE)] goals in the ad;
- Opened bids and read them aloud in a public forum in accordance with standard competitive bidding procedures and requirements;
- Mathematically verified bids and determined if bids are responsive;
- Provided a tabulation of all bids received at a public bid opening (attached);
- Determined low bidder and, if applicable, the low bidder in accordance with approved alternate bidding procedures, if used;
- Evaluated responsibility of the low bidder in accordance with Section 14.4.1 of the LPM;
- Analyzed bids in accordance with Section 14.4.2 of the LPM (attached);
- Evaluated and ensured appropriate DBE [or M/WBE] participation by DBE [or M/WBE] firms listed on NYSDOT registry prior to award;
- Completed all outstanding items, if any, which were incomplete at the time Authorization to Proceed with Advertisement was issued (Right-of-Way, permits, Construction Management Plan, etc.);
- Submitted the Contract Award Documentation Package with the checklist, described in Section 14.7 of the LPM to the RLPL;
- All environmental permits and approvals have been secured except those identified in the contract documents as needing to be obtained and secured by the contractor;
- Justification of rejection of all bids (if applicable);

AWARD DOCUMENTATION**-2-**

375647

The following information is provided:

- Project authorized for advertising by NYSDOT on August 11, 2021;
- Project advertised for 16 business days beginning on August 11, 2021;
- Bid Opening was September 2, 2021;
- Contract Number is LD035604;
- [2] of bids were received;
- [7] of plan holders;
- The computation of bids was verified by: Allen J. Yager, P.E. on: 09/02/21
- The construction is being inspected by: Allen J. Yager, P.E. Town of Lysander Engineer

Engineer's Estimate: \$ 418,154.70 DBE or [M/WBE] Goal 22%

Bidders in rank order:

<u>Rank</u>	<u>Bidder Name</u>	<u>Bid Amount</u>	<u>Contract Goal %</u>
1	Vector Construction Corporation	\$460,252.00	22%
2	Tioga Construction	\$473,377.00	22%

The following irregularities were found: Tioga Constructions bid total did not match the total of the bid item sum.

The Lowest Responsible Bidder: Vector Construction Corporation Low Bid: \$460,252.00

Total Bid	
Federal Share is	\$0
State share (if applicable) is	\$445,000
Local share (if applicable) is	\$15,252.00

(x) I recommend the award of the above contract to the lowest responsible bidder.

() I recommend the rejection of all bids.

Sincerely,



Allen J. Yager, P.E.
Town of Lysander Engineer

Attachments:

Proof of advertising

Bid Tabulation

Signed proposal from the verified low bidder

Non-Collusive Bidding Certification

Debarment History Certification

Bid Analysis

Construction Management Plan

Al Yager

From: nyscr_noreply@nyscr.ny.gov
Sent: Wednesday, August 11, 2021 6:56 AM
To: Al Yager
Subject: The NYS Contract Reporter Ad(s) For Your Agency Were Published on 8/11/2021



The
New York State
Contract Reporter

The following ad(s) for your agency were published today, Wednesday 8/11/2021.

Willett Parkway Culvert Repair

Agency: Lysander, Town of

Due Date: 9/2/2021

Ad Type: General

Submitted by: Allen Yager

[NYS Contract Reporter](#) · [NY Small Business](#) · [Policies & Disclaimers](#)

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Al Yager

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THE POST-STANDARD

LEGAL AFFIDAVIT

INV#: 0010064133

ADVANCE

MEDIA NEW YORK

syracuse.com | THE POST-STANDARD

NYup.com

TOWN OF LYSANDER
DINA FALCONE LYSANDER TOWN CLERK
8220 LOOP RD
BALDWINSVILLE, NY 13027

Name: TOWN OF LYSANDER

Sales Rep: Lois Rotchford

Account Number: 41452

INV#: 0010064133

Date	Position	Description	P.O. Number	Ad Size
08/12/2021	Other Legals: NY	TOWN of LYSANDER ADVERTISEMENT FOR BIDS	Willett Pkwy Culvert	1 x 135.00 CL

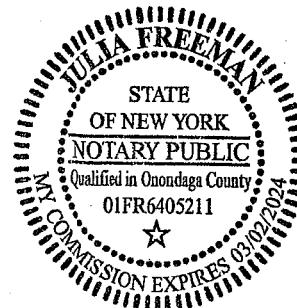
State of New York, County of Onondaga ss. Lois Rotchford, of the City of Syracuse, in said County, being duly sworn, doth depose and says: this person is the Principal Clerk in the office of THE POST-STANDARD, a public newspaper, published in the City of Syracuse, Onondaga County, New York and that the notice, is an accurate and true copy of the ad as printed in said newspaper, was printed and published in the regular edition and issue of said newspaper on the following days, viz.:

Post-Standard 08/12/2021

Lois Rotchford
Principal Clerk

An Authorized Designee of the President, Timothy R. Kennedy
Subscribed and sworn to before me, this 12th day of August
2021

NOTARY PUBLIC



FOR QUESTIONS CONCERNING THIS AFFIDAVIT,
PLEASE CONTACT LOIS ROTCHFORD AT
(315) 470-2051 OR Legals@Syracuse.com

Date	Position	Description	P.O. Number	Ad Size
08/12/2021	Other Legals NY	TOWN of LYSANDER ADVERTISEMENT FOR BIDS Sealed	Willett Pkwy Culvert	1 x 135.00 CL

TOWN of LYSANDER
ADVERTISEMENT FOR
BIDS Sealed Bids for
the following project
will be received by the
Town of Lysander,
8220 Loop Road, Bald-
winsville, New York
13027, until 10:00 A.M.
local time on Septem-
ber 2, 2021, then there
at said office, publicly
opened and read
aloud. WILLETT PARK-
WAY CULVERT REPAIR
PIN 375647 in the
TOWN OF LYSANDER
ONONDAGA COUNTY,
NEW YORK The project
consists of slip lining
one (1) 84" corrugated
steel culvert which
crosses the intersec-
tion of Willett Parkway
and Drakes Landing
which is the Willett
Pond dam outfall in the
Town of Lysander and
slip lining two (2) 48"
corrugated steel cul-
verts located at the
NYS Power Authority
Power Line crossing on
Willett Parkway. Elec-
tronic Copies of the
proposal documents
may be obtained at the
OFFICE of THE TOWN
CLERK at no charge or
by email upon request
to the Town of
Lysander Engineer at e
ngineer@townoflysand
er.org . Bids must be
submitted on the
forms furnished by the
Town. Bids must be ac-
companied by a depos-
it via certified check or
bank cashier's check,
made payable to the
Town of Lysander, or a
bid bond, in the
amount of 5% of the to-
tal bid. Failure to sub-
mit a bid bond or certi-
fied check with the bid
will result in automatic
disqualification of the
bid. Failure to submit a
bid on the forms fur-
nished by the Town
will result in automatic
disqualification of the
bid. The 5% Bid Bond
of the successful bid-
der will be retained as
a Performance Bond

a Performance Bond
until final payment is
made. Packages con-
taining the Bids must
be sealed, marked and
addressed to the Town
Clerk, Town of
Lysander, 8220 Loop
Road, Baldwinsville,
New York 13027 and
marked with the words
"WILLETT PARKWAY
CULVERT REPAIR" The
Owner reserves the
right to revise or
amend the Contract
Documents prior to the
date set for opening
Bids. Such revisions
and amendments, if
any, will be announced
by Addenda. Any Inqui-
ries regarding the de-
tails on the Contract
Documents must be di-
rected via email to
Town of Lysander Engi-
neer, engineer@townof
lysander.org
[Telephone: (315) 857-
0291/ Fax: (315) 635-
1515]. The Town also
reserves the right to re-
ject all Bids received
when it is determined
to be in the best inter-
est of the Town. Pur-
suant to State law, the
Town of Lysander is ex-
empt from payment of
sales and compensat-
ing use taxes of the
state of New York on
all materials, equip-
ment and supplies sold
to the Town under this
contract. Also exempt
from such taxes are
purchases by the con-
tractor and his subcon-
tractors on materials,
equipment and sup-
plies to be sold to the
Town under this con-
tract, including tangi-
ble personal property
to be incorporated in
any structure, building
or real property form-
ing part of the project.
These taxes are not to
be included in the Bid.
This contract is subject
to compliance with Arti-
cle 8 of the New York
State Labor Law regard-
ing prevailing wage
rates.

BID FORMS AND SUPPLEMENTS

1. Itemized Proposal

In submitting this bid, the undersigned declares that it is made without any connection with any person making another bid for the same contract; that the bid is in all respects fair and without collusion, fraud or mental reservation; and that no official of the State, or any person in the employ of the State is directly or indirectly interested in said bid or in the supplies or work to which it relates, or in any portion of the profits thereof.

The undersigned also hereby declares to have carefully examined the plans, specifications and form of contract, and to have personally inspected the actual location of the work together with the local sources of supply, to be satisfied as to all the quantities and conditions, and understands that in signing this proposal waives all right to plead any misunderstanding regarding the same.

The undersigned further understands and agrees to furnish and provide for the respective item price bid all the necessary material, machinery, implements, tools, labor services and other items of whatever nature, and to do and perform all the work necessary under the aforesaid conditions, to complete the improvement of the aforementioned project in accordance with the plans and specifications for said improvement, which plans and specifications it is agreed are a part of this proposal, and to accept in full compensation therefore the amount of the summation of the products of the approximate quantities multiplied by the unit prices bid. This summation will hereinafter be referred to as the gross sum bid.

For Design Bid Build projects, the undersigned further agrees to accept the aforesaid unit bid prices as compensation for any additions or deductions caused by variation in quantities due to more accurate measurement, and for use in the computation of the value of the work performed for monthly estimates, unless otherwise specifically provided for in the Standard Specifications.

The undersigned further agrees that should the bidder challenge any administrative action of the Department regarding the award of the Contract, such special proceeding shall be brought in Supreme Court, Albany County, and in no other location.

The undersigned further agrees that at any time during the progress of work the State adds, alters or omits portions of the work it shall so perform such work and accept compensation in accordance with the Standard Specifications.

The undersigned further understands and agrees not to start any work until the contract agreement is signed by the Commissioner or the Commissioner's duly authorized representative. In no event shall the undersigned start any contract work which involves a disturbance within the State right of way prior to award of the contract by the Comptroller.

2. Bid Deposit

Accompanying this proposal is a bid bond, certified check or bank cashier's check for the specified amount of deposit required. In the event this proposal is accepted by the Department of Transportation and the undersigned shall fail to execute the contract and in all respects comply with the provisions of Section 38 of the Highway Law, as amended, the moneys represented by such bid bond, certified check or bank cashier's check shall be regarded as liquidated damages and shall be forfeited and become the property of the State of New York; otherwise to be returned to the depositor in accordance with the provisions of said Section 38 of the Highway Law, as amended.

On acceptance of this proposal for said work the undersigned does or do hereby bind herself/himself or itself/themselves to enter into written contract, within ten days of date of notice of award, with the said Department of Transportation, and to comply in all respects with §38(6) of the Highway Law, as amended,

in relation to security for the faithful performance of the terms of said contract.

3. Certifications

A. Non-Collusive Bidding Certifications (NYS Finance Law §139-D)

1. Every bid hereafter made to the state or any public department, agency or official thereof, where competitive bidding is required by statute, rule or regulation, for work or services performed or to be performed or goods sold or to be sold, shall contain the following statement subscribed by the bidder and affirmed by such bidder as true under the penalties of perjury:

a. By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that to the best of his knowledge and belief:

(1) The prices in this bid have been arrived at independently without collusion, consultation, communication, or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any other bidder or with any competitor;

(2) Unless otherwise required by law, the prices which have been quoted in this bid have not been knowingly disclosed by the bidder and will not knowingly be disclosed by the bidder prior to opening, directly or indirectly, to any other bidder or to any competitor; and

(3) No attempt has been made or will be made by the bidder to induce any other person, partnership or corporation to submit or not to submit a bid for the purpose of restricting competition.

b. A bid shall not be considered for award nor shall any award be made where a. (1)(2) and (3) above have not been complied with; provided however, that if in any case the bidder cannot make the foregoing certification, the bidder shall so state and shall furnish with the bid a signed statement which sets forth in detail the reasons therefore. Where a. (1)(2) and (3) above have not been complied with, the bid shall not be considered for award nor shall any award be made unless the head of the purchasing unit of the state, public department or agency to which the bid is made, or his designee, determines that such disclosure was not made for the purpose of restricting competition.

The fact that a bidder: (a) has published price lists, rates, or tariffs covering items being procured, (b) has informed prospective customers of proposed or pending publication of new or revised price lists for such items or (c) has sold the same items to other customers at the same prices being bid, does not constitute, without more, a disclosure within the meaning of subparagraph 1. a.

2. Any bid hereafter made to the state or any public department, agency or official thereof by a corporate bidder for work or services performed or to be performed or goods sold or to be sold, where competitive bidding is required by statute, rule or regulation, and where such bid contains the certification referred to in subdivision one of this section, shall be deemed to have been authorized by the board of directors of the bidder and such authorization shall be deemed to include the signing and submission of the bid and the inclusion therein of the certificate as to non-collusion as the act and deed of the corporation.

B. Non-Collusive Bidding Certification (49 CFR 29)

The Contractor to whom the above identified contract is to be awarded does hereby tender to the New York State Department of Transportation this sworn statement pursuant to Section 112(c) of Title 23 U.S. Code *Highways* and does hereby certify, in conformance with said 23 USC 112(c) that the said Contractor has not, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of free competitive bidding in connection with the above identified contract.

The signatory to this proposal, being duly sworn, certifies that, EXCEPT AS NOTED BELOW, its company and any person associated therewith in the capacity of owner, partner, director, officer, or major stockholder (five percent or more ownership):

1. is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency

2. has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal

agency within the past three years;

3. does not have a proposed debarment pending; and

4. has not been indicted, convicted, or had a civil judgment rendered against it by a court of competent Jurisdiction in any matter involving fraud or official misconduct within the past three years.

EXCEPTIONS - List any relevant information, attaching additional sheets if necessary. (Exceptions will not necessarily result in disapproval, but will be considered in determining responsibility. For any exception noted, indicate below to whom it applies, the initiating agency, and dates of action. Providing false information may result in criminal prosecution or administrative sanctions.)

4. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion. (FHWA Section 1273 X.) [For Federal-Aid Contracts Only]

A. The Bidder certifies to the best of its knowledge and belief, that it and its Principals:

1. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency;

2. Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

3. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (a)(2) of this certification; and

4. Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

B. Where the Bidder is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

5. Certification Regarding Use of Contract Funds for Lobbying (FHWA 1273 Section XI.) [For Federal-Aid Contracts Only]

A. The Bidder certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.

B. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S. Code §1352. Any person who fails to file the required

certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

C. The Bidder also agrees by submitting its bid or proposal that the Bidder shall require that the language of this certification be included in all lower tier subcontracts which exceed \$100,000, and that all such subrecipients shall certify and disclose accordingly.

6. Bidder Assurance of No Conflict of Interest or Detrimental Effect.

The firm offering to provide services pursuant to this Contract, as a Contractor or joint venture Contractor, attests that its performance of the services outlined in this proposal does not and will not create a conflict of interest with nor position the firm to breach any other contract currently in force with the State of New York.

Furthermore, the firm attests that it will not act in any manner that is detrimental to any State project on which the firm is rendering services. Specifically, the firm attests that:

- a. The fulfillment of obligations by the firm, as proposed in the response, does not violate any existing contracts or agreements between the firm and the State;
- b. The fulfillment of obligations by the firm, as proposed in the response, does not and will not create any conflict of interest, or perception thereof, with any current role or responsibility that the firm has with regard to any existing contracts or agreements between the firm and the State;
- c. The fulfillment of obligations by the firm, as proposed in the response, does not and will not compromise the firm's ability to carry out its obligations under any existing contracts between the firm and the State;
- d. The fulfillment of any other contractual obligations that the firm has with the State will not affect or influence its ability to perform under any contract with the State resulting from this proposal;
- e. During the negotiation and execution of any contract resulting from this proposal, the firm will not knowingly take any action or make any decision which creates a potential for conflict of interest or might cause a detrimental impact to the State as a whole including, but not limited to, any action or decision to divert resources from one State project to another;
- f. In fulfilling obligations under each of its State contracts, including any contract which results from this proposal, the firm will act in accordance with the terms of each of its State contracts and will not knowingly take any action or make any decision which might cause a detrimental impact to the State as a whole including, but not limited to, any action or decision to divert resources from one State project to another;
- g. No former officer or employee of the State who is now employed by the firm, nor any former officer or employee of the firm who is now employed by the State, has played a role with regard to the administration of this contract procurement in a manner that may violate section 73(8)(a) of the State Ethics Law; and
- h. The firm has not and shall not offer to any employee, member or director of the State any gift, whether in the form of money, service, loan, travel, entertainment, hospitality, thing or promise, or in any other form, under circumstances in which it could reasonably be inferred that the gift was intended to influence said employee, member or director, or could reasonably be expected to influence said employee, member or director, in the performance of the official duty of said employee, member or director or was intended as a reward for any official action on the part of said employee, member or director.

Firms responding to this proposal should note that the State recognizes that conflicts may occur in the future because a firm may have existing or new relationships. The State will review the nature of any such new relationship and reserves the right to terminate the contract for cause if, in its judgment, a real or potential conflict of interest cannot be cured.

7. False Claims Certification (31 USC §3729, NYS Finance Law Article 13)

Under the Federal False Claims Act, 31 U.S. Code §3729, any person or entity who knowingly presents, or causes to be presented to the Federal Government, a false or fraudulent claim for payment or approval is liable to the United State Government for a civil penalty of not less than \$5,000 and not more than \$10,000, plus three times the amount of damages the Government sustains.

Under the New York State False Claims Act, NYS Finance Law Article 13, any person or entity who knowingly presents or causes to be presented to the State of New York or Local Governments within the State of New York, a false or fraudulent claim for payment or approval is liable to the Government for a civil penalty of not less than \$6,000 and not more than \$12,000, plus three times the amount of damages the Government sustains.

“Knowingly” is defined as: (1) actual knowledge; (2) acting in deliberate ignorance of the truth or falsity of information; or (3) acting in reckless disregard of the truth or falsity of information; no proof of specific intent to defraud is required.

The Contractor to whom the above-identified contract is to be awarded does hereby certify to New York State Department of Transportation that it understands the prohibitions under the Federal and New York State False Claims Acts, and that it has not and will not submit or cause to be submitted any fraudulent claims in the submission of this bid or in connection with the above identified contract. The Contractor further certifies that it understands retaliatory actions, against employees and officers who initiate a *Qui Tam* (public) action on behalf of the government or cooperate in the investigation of a false claim, are prohibited and are subject to an assessment of damages and penalties, under the provisions of the Federal and New York State False Claims Acts.

US DEPARTMENT OF TRANSPORTATION OFFICE OF INSPECTOR GENERAL - FRAUD, WASTE & ABUSE HOTLINE

The U.S. Department of Transportation (USDOT) Office of Inspector General (OIG) maintains a Hotline for receiving allegations of fraud, waste, abuse, or mismanagement in USDOT programs or operations. Persons with knowledge of bid collusion (i.e., contractors, suppliers, work persons, etc.), or other questionable contract related practices (inadequate materials, poor workmanship, theft of materials, etc.), are encouraged to report such activities by calling the Hotline at 1-800-424-9071, emailing hotline@oig.dot.gov, or writing to the USDOT Inspector General, 1200 New Jersey Ave SE, West Bldg. 7th Floor, Washington, DC 20590. Allegations may be reported 24 hours a day, seven days a week by DOT employees, contractors, or the general public.

NEW YORK STATE OFFICE OF THE INSPECTOR GENERAL HOTLINE

The New York State Office of the Inspector General maintains a Hotline for receiving allegations of governmental misconduct. Reports of New York State governmental misconduct may be made in strict confidence to the Toll Free 24-hour Statewide HOTLINE at 1-800-DO RIGHT (1-800-367-4448), the on-line complaint form at www.ig.ny.gov or in writing to the New York State Office of the Inspector General, Empire State Plaza, Agency Building 2 - 16th Floor, Albany, New York 12223.

CONTRACTOR MUST COMPLETE THE FORM BELOW
OFFERER DISCLOSURE OF PRIOR NON-RESPONSIBILITY DETERMINATIONS

1. Has any Governmental Entity made a finding of non-responsibility regarding the individual or entity seeking to enter into the Procurement Contract in the previous four years? (Please circle):

☒ No

☐ Yes

If yes, please answer questions 2-4. If no, skip to question 5.

2. Was the basis for the finding of non-responsibility due to a violation of State Finance Law §139-j? (Please circle):

☐ No

☐ Yes

3. Was the basis for the finding of non-responsibility due to the intentional provision of false or incomplete information to a Governmental Entity? (Please circle):

☐ No

☐ Yes

4. If you answered yes to any of the above questions, please provide details regarding the finding of non-responsibility below.

Governmental Entity: _____

Date of Finding of Non-Responsibility: _____

Basis of Finding of Non-Responsibility: _____

(Add additional pages as necessary)

5. Has any Governmental Entity or other governmental agency terminated or withheld a Procurement Contract with the individual or entity seeking to enter into the Procurement Contract due to the intentional provision of false or incomplete information? (Please circle):

☒ No

☐ Yes

6. If yes, please provide details below.

Governmental Entity: _____

Date of Termination or Withholding of Contract: _____

Basis of Termination or Withholding: _____

(Add additional pages as necessary)

BY EXECUTING THIS PROPOSAL, THE CONTRACTOR AGREES TO:

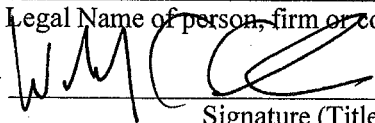
1. Perform all work listed in accordance with the Contract Documents including all amendments, (found at www.townoflysander.org at the prices bid; subject to the Changed Conditions provisions if applicable;
2. Accompany this proposal with a bid bond, certified check or bank cashier's check for the specified amount of deposit required;
3. All the terms and conditions of the non-collusive bidding certifications required by §139-d of the State Finance Law and 49 CFR Part 29;
4. For Federal-Aid contracts only, certify, under penalty of perjury, as to the current history regarding suspensions, debarments, voluntary exclusions, determinations of ineligibility, indictments, convictions or civil judgments required by *FHWA Form 1273 Required Contract Provisions Federal-Aid Construction Contracts- Section X "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion"*;
5. For Federal-Aid contracts only, certify that no Federal appropriated funds have been paid or will be paid, to any person for lobbying a Federal official or employee, or disclosure was made in accordance with 31 USC 1352 required by *FHWA Form 1273 Required Contract Provisions Federal-Aid Construction Contracts- Section XI "Certification Regarding Use of Contract Funds for Lobbying"*;
6. Attest that its performance of the services outlined in this proposal does not and will not create a conflict of interest with nor position the firm to breach any other contract currently in force with the State of New York;
7. Certify that it understands the prohibitions under the Federal False Claims Act (31 USC §3729) and the New York State False Claims Act (NYS Finance Law Article 13);
8. Certify that all information provided to the Department with respect to the requirements contained in the Procurement Lobbying Law (State Finance Laws §139j and §139k) is complete, true and accurate;
9. Affirm, under penalty of perjury, that all the responses provided to the Department with respect to its submitted Form CCA-2 *New York State Vendor Responsibility Questionnaire For-Profit Construction*, are complete, true, and accurate, and further affirms and acknowledges that it must remain a responsible Contractor throughout the duration of the contract, in accordance with §105-05 *Vendor Responsibility*;
10. Provide commitments to meet the established DBE/MBE/WBE/SDVOB goal(s) prior to award or demonstrate good faith efforts to do so;
11. By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that the bidder has and has implemented a written policy addressing sexual harassment prevention in the workplace and provides annual sexual harassment prevention training to all of its employees. Such policy shall, at a minimum, meet the requirements of Section 201-G of the New York State Labor Law.
12. Certify to all other clauses required by this proposal and contained herein.

Dated 9/2, 2021

Vector Construction Corporation

Legal Name of person, firm or corporation

By



Signature (Title)

Willard A Anderson, Vice President

(Acknowledgment of individual Contractor)

STATE OF NEW YORK

County of _____ ss.:

On this _____ day of _____ 20____, before me personally came _____

to me known and known to me to be the person described in and who executed the foregoing instrument, and acknowledged that she/he executed the same.

Notary Public

(Acknowledgment of co-partnership Contractor)

STATE OF NEW YORK

County of _____ ss.:

On this _____ day of _____ 20____, before me personally came _____

to me known and known to me to be the person who executed the foregoing instrument, who being by me duly sworn, did for himself/herself depose and say that he/she is a member of the partnership of _____, consisting of himself/herself and _____, and that he/she executed the foregoing instrument in the firm name of _____, and that he/she had authority to sign same, and did duly acknowledge to me that she/he executed the same as the act and deed of said firm for the uses and purposes mentioned therein.

Notary Public

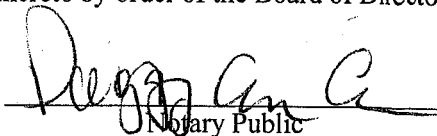
(Acknowledgment of Contractor, if a corporation)

STATE OF NEW YORK

County of Onondaga ss.:

On this 2nd day of September 2021, before me personally came Willard A Anderson to

me known and known to me to be the person, who being duly sworn, did depose and say that she/he resides in Camillus, NY, that she/he is the Vice President, of the Vector Construction Corporation, the corporation described in and which executed the foregoing instrument; and that she/he signed her/his name thereto by order of the Board of Directors of said Corporation.



Notary Public

PEGGY ANN CARMAN
NOTARY PUBLIC STATE OF NEW YORK
NO. 01CA6287985
QUALIFIED IN ONONDAGA COUNTY
MY COMMISSION EXPIRES AUGUST 26, 2025

(Acknowledgment of Contractor, if a Joint Venture)

A bidder that is a Joint Venture shall include a separate, signed and notarized copy of the acknowledgment page for each party in the joint venture.

Vector

Please Complete Information Requested Below:

The address of the bidder is:

6364 Island Road (Street)

Federal Identification No 16-0869814

Cicero, NY 13039
City, State and Zip Code

Contact Person Willard A Anderson

Phone No. (315) 699 9277

If a Partnership

Name

Address

If a Corporation

Name

Address

Richard P Anderson, President
Ricard F Anderson, Secretary
Thomas O Anderson, Treasurer

237 Robineau Rd, Syracuse, NY 13207
133 Walton Street, Syracuse, NY 13202
4336 Chickadee Circle, Syracuse, NY 13215

Vector

Section 00410

Revised 08/24/2021

BID FORMS AND SUPPLEMENTS

10

ITEM ID	DESCRIPTION	UNIT	QUANTITY	UNIT PRICE	BID AMOUNT
201.06	CLEARING AND GRUBBING	LS	1	2000 ⁰⁰	2000 ⁰⁰
203.03	EMBANKMENT IN PLACE	CY	28	20 ⁰⁰	560 ⁰⁰
206.0201	TRENCH AND CULVERT EXCAVATION	CY	67	20 ⁰⁰	1340 ⁰⁰
209.13	SILT FENCE	LF	300	2 ⁰⁰	600 ⁰⁰
209.22	CONSTRUCTION ENTRANCE	SY	169	16 ⁰⁰	2740 ⁰⁰
586.0201	DRILLING AND GROUTING BOLT OR REINFORCING BARS	EA	11	30 ⁰⁰	330 ⁰⁰
602.2740	LINNING WITH PROFILE WALL HIGH DENSITY POLYETHYLENE PIPE 40"	LF	340	560 ⁰⁰	190,400.00
602.2772	LINNING WITH PROFILE WALL HIGH DENSITY POLYETHYLENE PIPE 72"	LF	180	1,100 ⁰⁰	198,000.00
603.172012	GALVANIZED STEEL END SECTION 48" PIPE	EA	4	2500 ⁰⁰	10,000 ⁰⁰
603.172612	GALVANIZED STEEL END SECTION 72" PIPE	EA	1	5000 ⁰⁰	5000 ⁰⁰
607.97000007	REMOVE, STORE & REINSTALL	LF	24	30 ⁰⁰	720 ⁰⁰

	EXISTING WOOD FENCE				
610.1601	TURF ESTABLISHMENT ROADSIDE	SY	200	11 ⁰⁰	2200 ⁰⁰
619.01	BASIC WORK ZONE TRAFFIC CONTROL	LS	1	7500 ⁰⁰	7500 ⁰⁰
620.04	STONE FILLING MEDIUM	CY	67	110 ⁰⁰	7370 ⁰⁰
697.03	FIELD CHANGE PAYMENT	LS	1	\$15,428.00	\$15,428.00
698.05	FUEL PRICE ADJUSTMENT	LS	1	\$100.00	\$100.00

SUBTOTAL (NOT INCLUDING MOBILIZATION) 444,252.00

699.040001	MOBILIZATION (MAX 4%)	LS	1	16000 ⁰⁰	16000 ⁰⁰
------------	-----------------------	----	---	---------------------	---------------------

PLEASE BE SURE THAT A BID IS ENTERED FOR EACH ITEM

TOTAL GROSS SUM WRITEN IN WORDS

NUMERIC TOTAL

Four hundred sixty thousand
two hundred fifty-two dollars
and zero cents.


460,252.00

End of Section

REVISED JULY 2012

DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352 (See reverse for public burden disclosure.)

1. Type of Federal Action:		2. Status of Federal Action:		3. Report Type:	
a. contract		a. bid/offer/application		a. initial filing	
b. grant		b. initial award		b. material change	
c. cooperative agreement		c. post-award		For Material Change Only:	
d. loan				year quarter	
e. loan guarantee				date of last report	
f. loan insurance					
4. Name and Address of Reporting Entity: <input type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier, if known:			5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime:		
Congressional District, if known:			Congressional District, if known:		
6. Federal Department/Agency:			7. Federal Program Name/Description:		
			CFDA Number, if applicable:		
8. Federal Action Number, if known:			9. Award Amount, if known:		
			\$		
10. a. Name and Address of Lobbying Registrant (if individual, last name, first name, MI):			b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):		
11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.			Signature: 		
			Print Name: Willard A. Anderson		
			Title: Vice President		
			Telephone No.: (315) 699-9277		
			Date: 9/1/21		
Federal Use Only:					Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)

REVISED JULY 2012

REQUIREMENTS REGARDING LOBBYING ACTIVITIES ON FEDERAL AID CONTRACTS

DISCLOSURE OF LOBBYING ACTIVITIES

Continuation Sheet

Approved by
OMB
0348-0046

Reporting Entity: _____	Page _____	Of _____

Authorized for Local Reproduction - Standard Form LLL

Appendix 12 – 1.8

REVISED JULY 2012

THE FOLLOWING PAGES ARE THE REQUIRED CERTIFICATION REGARDING NON-COLLUSIVE BIDDING PROCEDURES AND THE CONTRACTOR'S ELIGIBILITY TO SUBMIT A BID UNDER FEDERAL LAW. THE LAST PAGE IS A GENERAL BIDDER INFORMATION FORM. ALL SHOULD BE INCLUDED IN THE CONTRACT DOCUMENTS, IMMEDIATELY FOLLOWING THE PAGE(S) WHICH CONTAINS THE NON-COLLUSIVE BIDDING REQUIREMENTS. BY SIGNING ONE OF THESE CERTIFICATIONS, THE CONTRACTOR CERTIFIES THAT HE UNDERSTANDS AND AGREES TO BE BOUND BY THE PROVISIONS OF THE FOLLOWING LAWS:

1. NEW YORK STATE FINANCE LAW, ARTICLE 9, SECTION 139-d
2. TITLE 49, CFR, PART 29
3. TITLE 23, U. S. CODE-HIGHWAYS, SECTION 112

THE CONTRACTOR SHOULD CHOOSE THE APPROPRIATE NOTARIZATION WHICH CORRESPONDS TO THE TYPE OF COMPANY (SOLE PROPRIETORSHIP, PARTNERSHIP, OR CORPORATION) THAT HE/SHE REPRESENTS OR IS AFFILIATED WITH. ALL BIDDERS SHOULD FILL OUT THE APPROPRIATE SECTION OF THE BIDDER INFORMATION SHEET.

BY EXECUTING THIS DOCUMENT, THE CONTRACTOR AGREES TO:

1. Perform all work listed in accordance with the Contract Documents at the unit prices bid; subject to the provisions of Section 104 -04, Standard Specifications, Construction and Materials, published by the New York State Department of Transportation, and dated May 4, 2006, if applicable;
2. All the terms and conditions of the non-collusive bidding certifications required by Section 139-d of the State Finance Law, and Section 112, Title 23, U.S. Code;
3. Certification of Specialty Items category selected, if contained in this proposal;
4. Certification of any other clauses required by this proposal and contained herein;
5. Certification, under penalty of perjury, as to the current history regarding suspensions, debarments, voluntary exclusions, determinations of ineligibility, indictments, convictions, or civil judgments required by 49 CFR Part 29.

Vector Construction Corporation

Date: 9/2/2021

(Legal Name of Person, Corporation, or Firm Which
is Submitting Bid or Proposal)

BY:


(Signature of Person Representing Above)

AS: Willard A Anderson, Vice President
(Official Title of Signator in Above Firm)
(Acknowledgment by Individual Contractor, If a Corporation)

REVISED JULY 2012

STATE OF NEW YORK)
) SS:
COUNTY OF Onondaga)

On this 2nd day of September, 2021, before me
personally came Willard A Anderson, to me known and known
to me to be the person who executed the above instrument, who being duly sworn by me, did
depose and say that he/she resides at 127 Eagle Crest Dr, Camillus, NY 13031

, and that he/she is the Vice President
of the Vector Construction Corporation

the corporation described in and which executed the above instrument, and that he/she signed
his/her name thereto on behalf of said Corporation by order of the Board of Directors of said
Corporation.

Notary Public



(Acknowledgment by Co-Partnership Contractor)

PEGGY ANN CARMAN
NOTARY PUBLIC STATE OF NEW YORK
NO. 01CA6287985
QUALIFIED IN ONONDAGA COUNTY
MY COMMISSION EXPIRES AUGUST 26, 2025

STATE OF NEW YORK)
) SS:
COUNTY OF)

On this _____ day of _____, 20____, before
me
personally came _____, to me known and known
to
me to be the person described in and who executed the above instrument, who, being duly
sworn
by me, did for himself/herself depose and say that he/she is a member of the firm of
, consisting of himself/ herself and
, and that he/she executed the foregoing instrument in the firm name of
and that
he/she had authority to sign same, and did duly acknowledge to me that he/she executed same
as
the act and deed of said firm of _____ for the uses
and
purposes mentioned herein.

Appendix 12 – 1.12

(Acknowledgment by Individual Contractor)

On this _____ day of _____, 20_____,
before me personally came _____, to me known and

Notary Public

Bidder to provide information listed below:

Federal Identification No.: 16-0869814

Name of Contact Person: Willard A Anderson

Phone # of Contact Person: (315) 699-9277

If Bidder is a Corporation:

President's Name & Address:
Richard P Anderson 237 Robineau Rd, Syracuse, NY 13207

Secretary's Name & Address:
Richard F Anderson, 133 Walton Street, Syracuse, NY 13202

Treasurer's Name & Address:
Thomas O Anderson, 4336 Chickadee Circle, Syracuse, NY 13215

If Bidder is a Partnership:

Partner's Name & Address:

Partner's Name & Address:

If Bidder is a Sole Proprietorship:

Owner's Name & Address:

**REPORTING VIOLATIONS OF NON-COLLUSIVE BIDDING PROCEDURES,
MISCONDUCT, OR OTHER PROHIBITED CONTRACT ACTIVITIES**

U. S. DEPARTMENT OF TRANSPORTATION HOTLINE. Persons with knowledge of bid collusion (i.e., contractors, suppliers, workers, etc.) or other questionable contract related practices (inadequate materials, poor workmanship, theft of materials, etc.) are encouraged to report such activities by calling the U. S. D. O. T. HOTLINE. The HOTLINE number is 1-800-424-9071 and calls will be answered from 8:00 A.M. to 5:00 P.M. EST, Monday thru Friday. This HOTLINE is under the direction of the U.S.D.O.T.'s Inspector General. All information will be treated confidentially and the caller's anonymity will be respected.

NEW YORK STATE INSPECTOR GENERAL HOTLINE. Reports of New York State Governmental Misconduct may be made in strict confidence to the New York State Inspector General on the Toll Free Statewide HOTLINE or by writing to the Office of the Inspector General. The Toll Free Statewide HOTLINE telephone number is 1-800-367-4448 and calls will be answered between 8:00 A.M. and 4:30 P.M., Monday through Friday. The address of the Office of the State Inspector General is the State Capitol, Executive Chamber, Albany, New York 12224.

THIS IS REQUIRED IN ALL FEDERAL AID CONTRACTS.

GOALS FOR EQUAL EMPLOYMENT OPPORTUNITY (EEO) PARTICIPATION

The Contractor shall follow the requirements of §102-11 *Equal Employment Opportunity Requirements*. The goals for minority and female participation, expressed in percentage terms for the Contractor's aggregate workforce in each trade on all construction work in the covered area, which is the county or counties in which the work is located, are as follows:

GOALS FOR PARTICIPATION OF MINORITIES					
COUNTY	%	COUNTY	%	COUNTY	%
Albany	3.2	Herkimer	2.1	Richmond	Table
Allegany	6.3	Jefferson	2.5	Rockland	22.6
Broome	1.1	Kings	Table	St. Lawrence	2.5
Bronx	Table	Lewis	2.5	Saratoga	3.2
Cattaraugus	6.3	Livingston	5.3	Schenectady	3.2
Cayuga	2.5	Madison	3.8	Schoharie	2.6
Chautauqua	6.3	Monroe	5.3	Schuyler	1.2
Chemung	2.2	Montgomery	3.2	Seneca	5.9
Chenango	1.2	Nassau	5.8	Steuben	1.2
Clinton	2.6	New York	Table	Suffolk	5.8
Columbia	2.6	Niagara	7.7	Sullivan	17.0
Cortland	2.5	Oneida	2.1	Tioga	1.1
Delaware	1.2	Onondaga	3.8	Tompkins	1.2
Dutchess	6.4	Ontario	5.3	Ulster	17.0
Erie	7.7	Orange	17.0	Warren	2.6
Essex	2.6	Orleans	5.3	Washington	2.6
Franklin	2.5	Oswego	3.8	Wayne	5.3
Fulton	2.6	Otsego	1.2	Westchester	22.6
Genesee	5.9	Putnam	22.6	Wyoming	6.3
Greene	2.6	Queens	Table	Yates	5.9
Hamilton	2.6	Rensselaer	3.2		

(45 FR 65976 - 10/3/1980)

GOALS FOR PARTICIPATION OF MINORITIES BRONX, KINGS, NEW YORK, QUEENS AND RICHMOND COUNTIES			
Electricians	9.0 to 10.2	Bricklayers	13.4 to 15.5
Carpenters	27.6 to 32.0	Asbestos workers	22.8 to 28.0
Steam fitters	12.2 to 13.5	Roofers	6.3 to 7.5
Metal lathers	24.6 to 25.6	Iron workers (ornamental)	22.4 to 23.0
Painters	26.0 to 28.6	Cement masons	23.0 to 27.0
Operating engineers	25.6 to 26.0	Glaziers	16.0 to 20.0
Plumbers	12.0 to 14.5	Plasterers	15.8 to 18.0
Iron workers (structural)	25.9 to 32.0	Teamsters	22.0 to 22.5
Elevator constructors	5.5 to 6.5	Boilermakers	13.0 to 15.5
		All others	16.4 to 17.5

GOAL FOR PARTICIPATION OF WOMEN

The goal for the participation of women is 6.9%.
(4/7/1978)

(43 FR 14888 -

These goals are applicable to all the Contractor's construction work (whether or not it is Federal or federally assisted). If the Contractor performs construction work outside of New York State, it shall apply the goals established for the covered area where the work is actually performed.

MBE/DBE/WBE/SDVOB PARTICIPATION GOALS

The Department has established the following participation goal(s) for this contract, expressed as a percentage of the total contract bid amount.

The DBE program applies to Federal-Aid contracts; the MBE, WBE, and SDVOB programs apply to Non-Federal-Aid contracts. For more information see Standard Specifications §102-12 or call the Office of Construction Pre-Award Unit at (518) 457-6472.

Disadvantaged Business Enterprise (DBE) Goal	NA (Federal Aid Only)
Minority Business Enterprise (MBE) Goal	9% (Non Federal Aid Only)
Women's Business Enterprise (WBE) Goal Only)	13% (Non Federal Aid
Service-Disabled Veteran-Owned Business (SDVOB) Goal	6% (Non Federal Aid Only)

The NYSUCP DBE Directory is located at: <https://nysucp.newnycontracts.com/>

The NYS M/WBE Directory is located at: <https://ny.newnycontracts.com/>

The NYS SDVOB Directory is located at: <https://online.ogs.ny.gov/SDVOB/search>

End of Section

SECTION 00460
LIST OF SUBCONTRACTORS

Supplier/Material Source	Address	DOT Approved	M/W/DBE Status	Items to be Supplied
KHM	BINGHAMTON NY	✓	DBE	GROUTING PIPE LINERS

Construction Management Plan (BridgeNY Culverts)

for

Willett Parkway Culver Repair

PIN 375647

Town of Lysander

Onondaga County

Project Participants and Responsibilities:

- New York State Department of Transportation (NYSDOT): Responsible for overall oversight of the project.
- Town of Lysander: The lead sponsoring agency for the project, partial owner of the bridge structure, and partial benefactor of the project. Responsible for overall owner project management and administration, including paying the contractor and Consultant Team, approving major modifications to the contract, and accepting the completed work.
- The on staff Town of Lysander Engineer: Will be responsible for overall project coordination, providing technical engineering expertise, and addressing technical issues during construction.
- The on staff Town of Lysander Engineer: Will be responsible for providing daily inspection and monitoring of construction activities; documenting construction observations for submittal to the NYSDOT; conducting periodic progress meetings; and preparing monthly estimates for payment to the contractor for work accomplished.
- The Contractor hired to perform the construction work. The contractor is selected through the sealed bid process.

Staffing:

The on-site inspector will be the on staff Town of Lysander Engineer. The on-site inspector will have construction inspection experience in this project's type of construction projects. The on-site inspector will be responsible for monitoring construction activities on the project, preparing inspection reports in accordance with the program's requirements, reviewing and taking appropriate action on predetermined critical operations, maintaining project records, reviewing and taking appropriate action on test results, reviewing and taking appropriate action on all contractor submittals, and coordinating with NYSDOT project managers. Appropriate action related to tests and contractor submittals will include coordination with NYSDOT project managers. The on-site inspector will be under the direct supervision of the NYSDOT RLPL. The on staff Town of Lysander Engineer will be the project manager. The on staff Town of Lysander Engineer as project manager will be responsible for supervision of contractor field personnel and overall technical and administrative project management. Resume attached.

The NYSDOT project manager is RLPL. The NYSDOT project manager will be responsible for overall technical and administrative project management and supervision.

Applicable Documents and Procedures:

The following documents (in addition to the Contract Documents) will be used as the procedures

used on this project:

- NYSDOT Manual of Uniform Record Keeping (MURK) (as required to meet minimum reporting requirements)
- NYSDOT Standard Specifications May 1, 2021
- NYSDOT Manual for Locally Administered Projects (LPM)

The project may not require full time inspection. At a minimum, the project must have inspection during the construction of critical operations of the project. These include but are not limited to:

- Back fill operations
- Check rebar/Concrete pours
- Check grade/Setting of precast concrete units
- Check grade/Installation of metal culverts
- Check Fine grading/ paving operations

A MURK daily work report will be filled out on the days when an inspector is inspecting construction operations. All daily inspection reports will follow Manual of Uniform Record Keeping. All quantities will be reported from the operations inspected according to MURK. Any work completed in the days prior and not having inspection will be described on this report allowing no gap in reporting.

Payment Procedure and Type of Estimating System:

Contractor payments will occur once a month at a time agreed upon by the Town and the Contractor. The estimate, after being reviewed and agreed to by the Contractor, will be sent to the Town for payment.

In-house spreadsheet estimating software will be used by the Consultant Team to track and store data for preparing monthly estimates.

Monthly estimates will be reported using a spreadsheet claim sheet.

Construction Materials:

NYSDOT specifications and procedures will be used for the inspection and monitoring of the construction, materials used, and procedures followed. The Contractor shall be required to use State-approved materials, suppliers, laboratories, et cetera for the items of work. In the event that State-approved sources are not available, the Contractor shall supply the details of the proposed alternative, and the on staff Town of Lysander Engineer will review for conformance and suitability.

The Consultant if applicable/Sponsor is to provide and pay for all NYSDOT required materials certification testing. Eligible amounts will be reimbursed. Specification required testing will be completed by an independent testing laboratory in accordance with Sections 00160.11 and 01640.02 of the Contract Specifications. Results of the test are to be provided directly to the on staff Town of Lysander Engineer. Members of the Consultant Team if applicable/ sponsor will review the test results to ensure compliance with the Contract Specifications.

Materials Acceptance and Submittal Review Responsibilities:

NYSDOT MURK materials acceptance procedures will be used for this project. The Consultant if applicable/Sponsor is to ensure the Buy America provisions included in the contract are followed.

The Consultant Team if applicable/ sponsor will review and accept or take other appropriate action on all contractor related submittals including shop drawings.

Highway item shop drawings, material certifications, and other submitted highway related items will be reviewed by the on staff Town of Lysander Engineer.

MATERIALS TESTING & ACCEPTANCE PROCEDURES

Only NYSDOT approved granular materials and stone filling will be used on this project. Sampling, testing, and approvals for all granular materials and stone filling items will be done by the NYSDOT Regional Geotechnical Unit. The consultant inspector will be responsible for onsite compaction and gradation testing. The frequency of testing shall be based on Exhibit 203-A of the NYSDOT Construction Inspection Manual (CIM). The onsite testing must be done according to the appropriate NYSDOT testing manuals: GTM-20 - Test Method for the Grain-Size Analysis of Granular Soil Materials, GTM-9 - Test Method for Earthwork Compaction Control by Sand Cone or Volumeter Apparatus, GTM-10 - Test Method for Earthwork Compaction Control by Nuclear Gauge.

The NYSDOT test methods are different from similar ASTM tests that testing laboratories typically use. It is important to follow these NYSDOT approved test methods rather than the ASTM tests since the NYSDOT's quality assurance program is based on following the DOT test methods.

Geotechnical Control Procedure GCP-17 - Procedure for the Control of Granular Materials provides details on the building and sampling procedure for stockpiles as well as the procedure for obtaining Source Approvals for non-stockpiled granular materials. GCP-14 - Procedure for Control of Stone Filling and Rip-Rap Items provides the same details for stone filling items.

Contact Kathy Baker, Regional Geotechnical Engineer @ 315-469-3236 to make arrangements for stockpile sampling and source approvals. The Regional Geotechnical Unit keeps an updated list of sources that are currently approved for non-stockpiled granular materials.

All of the above manuals are available online at the following link:
<https://www.dot.ny.gov/divisions/engineering/technical-services/geotechnical-engineering-bureau/manuals>

The consultant EIC/Inspector should familiarize himself with these manuals.

Local Sponsored Projects
Offsite materials Sampling and Testing
By Region 3 Materials staff
NYS DOT
REGION 3 Materials
4601 Nixon Park Dr. Suite 110
Syracuse NY, 13215

Anup Ghosh, Region Materials Engineer
Bill Wilbur, Regional Plants manager
Paul St. Amour, Lab Manager
Phone 315 469-3285, Fax 315 469-1614

Call in 72 hours prior to first plant order for Cement Concrete or Asphalt Concrete – verify plant locations supplying material and the current approved status.

After first notification of plant order subsequent orders should come in by 3 PM day before by Fax or e mail - **r03.materials@dot.ny.us**

Plant inspectors will fax plant testing reports to project field offices at days end or early the following day. Small quantities of hot mix (typically less than 150 tons) or Cement Concrete pours non structural (sign footings, DI alterations) can be plant certified, no State QA inspector present. Still notify Regional Materials of this production at times an inspector may be placed for these small orders.

For Structural Concrete

Provide location of placement, concrete class needed, time of delivery to the project site. Inform region 3 lab if test cylinders will be fabricated and broken by region 3. All structural placements should include one or more 28 day cylinder breaks to be placed in approve curing box (region 3 has curing tank capacity if needed, cylinders should be minimum 24 hour old before moving). All early break cylinders shall be cured in the field with the placement they represent. **It is vital that all pairs of cylinders are accompanied by a fully filled out Br 300 Cylinder Report Form that identifies the plant where the mix originated and all sources of materials contained in the mix as well as field test data (slump, air, temperature) from the day of the placement.** This vital information will be on plant inspectors report from the day of mix production. BR 300 forms are available through regional materials office if needed.

For HMA Paving

Determine Superpave Series from pay items and inform region 3 materials lab if test strip cores will be taken and brought into to regional lab for testing. Superpave 60 series requires 4 test strip cores and loose mix from the plant to determine PTD (density gage present for all paving of 60 series), 70 series requires no cores but density gage must be present, 80 series (no density gage) roller passes according to specification. 50 series superpave is for limited access highways only, if you have these items it could be an error in specifying.

Miscellaneous:

Utility Work: The sponsor will review utility locations or relocations in the field based on information provided and notify the NYSDOT of the utility company's conformance to the proposed utility work.

Road Closure Limitations: See Maintenance and Protection of Traffic Plans.

Work Affecting the River: Work is to comply with all NYSDEC and USACOE permit and other conditions.

Nighttime Construction: It is not anticipated that nighttime construction activities will be necessary. The proposed schedule assumes all activities will occur during daytime hours.

Stockpiling of Materials: Stockpiling of material on site will not be allowed in lowland areas where sediment or other waste material could impact waters of the United States or existing wetlands. The Consultant Team if applicable/ Sponsor will be responsible for ensuring that the Contractor abides by this condition.

Unanticipated Field Conditions and Changes: In response to unanticipated varying field conditions or changes in construction procedures, the Sponsor will conduct on-site field reconnaissance and where required, prepare Field Change sheets modifying pertinent contract plan sheets. All changes will be submitted to the NYSDOT for approval.

Time Extensions: The Sponsor will document delays, events, and actions that affect the Contractor's adherence to the schedule. The Sponsor is responsible for reviewing the schedule with the Contractor during the progress meetings. If the schedule needs adjustment, the Sponsor will require a new schedule from the Contractor and submit this to the NYSDOT.

Change Orders: Change orders will be prepared by the Sponsor and approved by the Town Board prior to the start of the work. NYSDOT will separately approve change orders to verify that the intent of scope has not changed.

Disputed Work: If the Contractor is of the opinion that any work ordered is extra work, the Contractor must notify on staff Town of Lysander Engineer in writing. During the process of disputed work, the Contractor shall provide force account reports of all labor, equipment, and material used.

Payroll and EEO Requirements: The Contractor shall utilize EBO to provide required information and documentation so that on staff Town of Lysander Engineer can monitor EEO and M/WBE compliance.

Equal Employment Opportunity Goals:

This project has 3.8% minority participation, 6.9% female participation goals and 0% DBE participation goal. Utilization will be monitored monthly by the on staff Town of Lysander Engineer.

As-built Plans: The on staff Town of Lysander Engineer will prepare as-built plans as work progresses.